

The New International Guideline on
Compliance Management Systems
and Practical Suggestions for OpenChain



The Utility of ISO 19600

for Management of Free/Libre/Open Works

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ISO/PC 271

ISO/19600

Compliance Management Systems

Project Committee Membership as of Oct 2014

● Secretariat

Australia (SA)

● Participating Countries (11)

Australia (SA)

Austria (ASI)

Canada (SCC)

China (SAC)

France (AFNOR)

Germany (DIN)

Malaysia (DSM)

Netherlands (NEN)

Singapore (SPRING SG)

Spain (AENOR)

Switzerland (SNV)

● Observing Countries (13)

Argentina (IRAM)

Belgium (NBN)

Czech Republic (UNMZ)

Finland (SFS)

Greece (NQIS ELOT)

Ireland (NSAI)

Italy (UNI)

Japan (JISC)

New Zealand (SNZ)

Poland (PKN)

Sri Lanka (SLSI)

Thailand (TISI)

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Approach

- ***A guideline*** to more effective compliance management
- ***Not a specification*** that would impose requirements
- Rationale:
 - Existing certifiable management system standards (ISO/9000, specific disciplines) include compliance;
 - Organizational leaders want to improve and broaden their existing approaches to compliance management;
 - Managers want adaptable methods that fit the needs and possibilities of their supply chain and enterprise.

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Purposes

- Strengthen competencies and culture of integrity
- Duly consider stakeholder needs and expectations
- Integrate compliance management in routine operations
- Enhance ability to demonstrate compliance
 - Law (intellectual provenance law, trade law)
 - Industry (contracts, licenses, interests, risks, efficiency)
 - Community (ethics, expectations, responsibility, values)

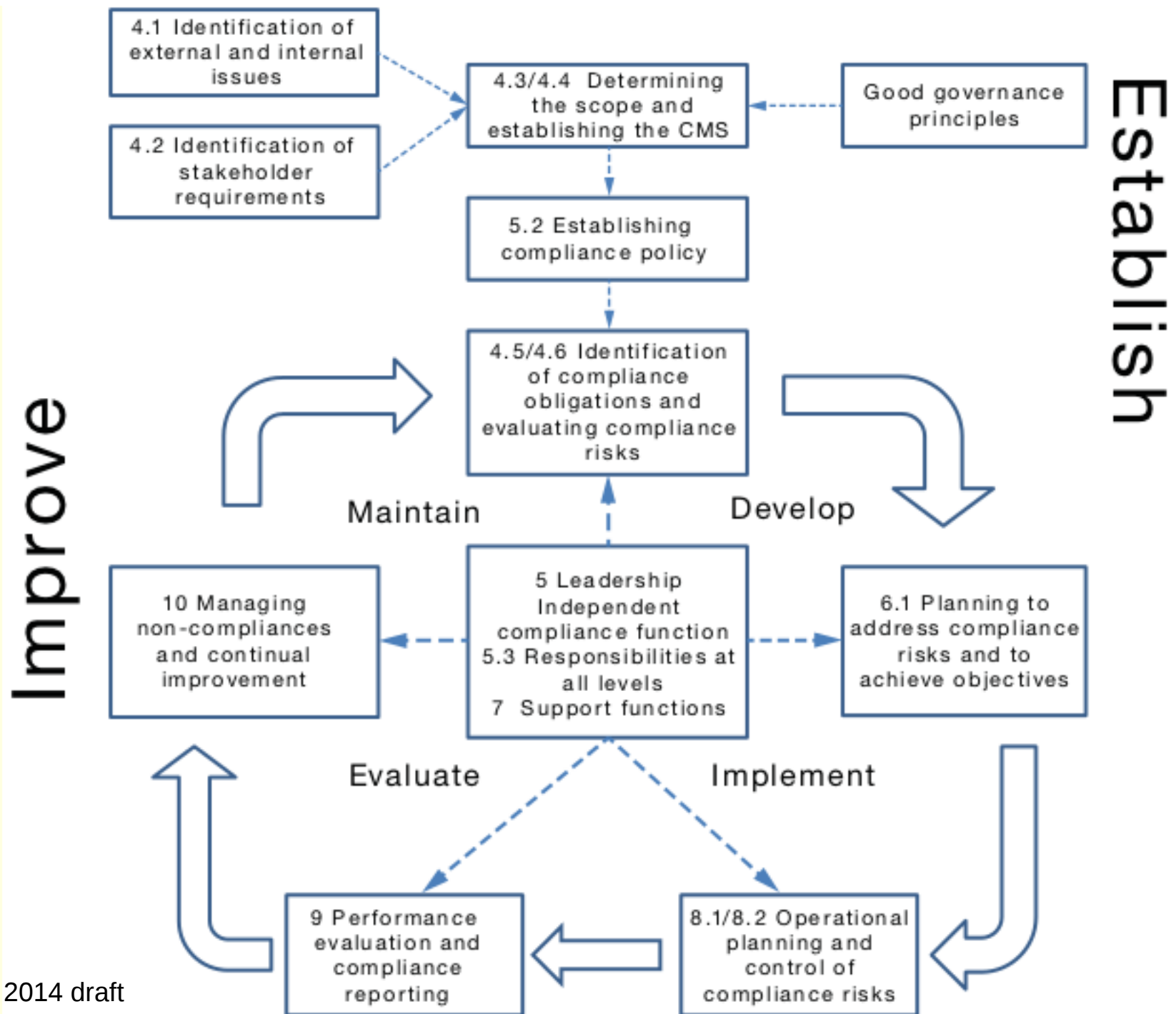
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Source: January 20 2014 draft of the ISO "Compliance management systems guidelines" (Status: Under development)

Figure 1: Visualisation of the compliance management system

Business Value

- Proactive Value:

"Responsible Risk" buttresses entrepreneurial risk-taking with solid proactive due diligence;

- Defensive Value:

"Due Diligence" carries legal weight when a company can demonstrate that its software license compliance management system is *"aligned with ISO 19600 Compliance Management System Guidelines"*.

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Practical Suggestions for OpenChain

- Put in place a way to participate directly in ISO/PC 271
- Mirror ISO/19600 in OpenChain framework
- Extend ISO/19600 in OpenChain guidance
- Underwrite OpenChain software (permissive licensing)
- Supply generic ISO/19600 "reference implementation"
 - Attracts peer review from diverse community
 - Boosts OpenChain (and free/libre/open) marketing
 - Gains “first-to-market” proliferation advantages

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